



JC07 Rec'd PCT/PTO 22 JAN 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of: )  
Saori KITAO, *et al.* ) Group Art No. To be assigned  
Serial No: 09/889,325 ) Examiner: To be assigned  
Filed: January 19, 2000 ) Docket No. 004276.00003

For: GENE CAUSATIVE OF ROTHMUND-THOMSON SYNDROME AND GENE  
PRODUCT

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97(b)

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 C.F.R. § 1.56(a) Applicants submit herewith copies of documents cited on the attached Form PTO 1449.

Applicants respectfully request that the Examiner consider and enter these documents into the file of the above-identified application. No fee is believed to be due to ensure consideration and entry of the cited documents by the Examiner. However, if a fee is deemed necessary, the Commissioner is authorized to charge our Deposit Account No. 19-0733.

Respectfully submitted,

Sarah A. Kagan  
Registration No. 32,141

Dated: 01-18-02

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PTO/SB/08B(10-01)

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Substitute for form 1449A/PTO

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY  
APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/889,325
Filing Date	January 19, 2000
First Named Inventor	Saori KITAO, et al.
Group Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	004276.00003

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KITAO, Saori, et al., Cloning of Two New Human Helicase Genes of the RecQ Family: Biological Significance of Multiple Species in Higher Eukaryotes, Genomics, 1998, pages 443-452, Vol. 54, No. 3, Academic Press, USA.	
		KITAO, Saori, et al., Discovery of Gene Responsible for Rothmund-Thomson Syndrome, Jikken Igaku (Experimental Medicine), 1999, pages 1237-1240, Vol. 17, No. 10, AGENE Research Institute, Japan.	X
		KITAO, Saori, et al., Mutations in <i>RECQL4</i> Cause a Subset of Cases of Rothmund-Thomson Syndrome, Nature Genetics, 1999, pages 82-84, Vol. 22, No. 1, Nature America Inc., USA.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/21 (08-00)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number

09/889,325

Filing Date

January 19, 2000

First Named Inventor

Saori KITAO, et al.

Group Art

To be assigned

Examiner Name

To be assigned

Attorney Docket Number

004276.00003

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Supplemental Information  
Disclosure Statement

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Form 1449  
References**

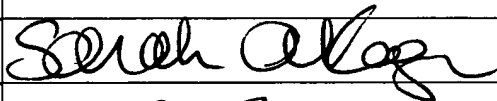
Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Sarah A. Kagan, Reg. No. 32,141

Signature



Date

01-18-02

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